Tompkins County

DEPARTMENT OF PLANNING AND SUSTAINABILITY

121 East Court Street Ithaca, New York 14850

Katherine Borgella, AICP Commissioner of Planning and Sustainability Telephone (607) 274-5560 www.tompkinscountyny.gov/planning

MUNICIPAL GRANTS WORKSHOP AGENDA

Thursday, January 24, 2019 & Monday, February 4, 2019 12:00 PM – 2:00 PM Borg Warner Room, Tompkins County Public Library – 101 E. Green Street

- 1. Welcome and Overview Megan McDonald
 - a. Agenda
 - b. County's 239 Review Guide (http://tompkinscountyny.gov/planning/community-planning)
 - c. Online maps and free GIS services (http://tompkinscountyny.gov/planning/mapsanddata)
 - d. Exploring training opportunities and potential for additional assistance
- 2. Towns and Villages Parks and Trails Grant Program Darby Kiley
- 3. Municipal Housing Affordability Grant Program David West
- 4. Consultant Presentations
- 5. Group Q&A
- 6. Open House: time to talk individually with consultants, County staff, and other municipal participants

Tompkins County Department of Planning and Sustainability FREE GEOGRAPHIC INFORMATION SYSTEMS (GIS) SERVICES FOR MUNICIPALITIES

2019 Guidelines

The Tompkins County 2019 budget provides up to \$500 in free GIS services to municipalities within Tompkins County through the Tompkins County Department of Planning and Sustainability. This roughly equates to 10 hours of GIS analysis and 3 poster-sized maps.

What is GIS?

A geographic information system (GIS) is a framework for gathering, managing, and analyzing data. Rooted in the science of geography, GIS integrates many types of data. It analyzes spatial location and organizes layers of information into visualizations using maps and 3D scenes. With this unique capability, GIS reveals deeper insights into data, such as patterns, relationships, and situations—helping users make smarter decisions (www.esri.com).

Examples of how GIS can assist a municipality:

- Bringing together multiple pieces of information to inform decisions, such as examining floodplains, wetlands, waterways, zoning, etc. when considering the vulnerability of future development to flood hazards.
- Providing evidence needed for successful grant applications, such as visually depicting results of a housing conditions survey to support an application for a Community Development Block Grant (CDBG) award to support a housing rehabilitation program.
- Creating the basis for visual materials to help with municipal efforts, such as depicting proposed zoning changes.

Examples of commonly used data available for this analysis:

- Tax parcel data
- Wetlands, watersheds and waterways
- Soils
- Aerial Imagery (Air photos)

How does a municipality access this free GIS assistance?

Call (607-274-5560) or email (sheller@tompkins-co.org) the Dept. of Planning and Sustainability's GIS Analyst, Sharon Heller, to share details regarding what information/analysis is sought and the desired timeframe for the effort. Please note that assistance is scheduled as time permits around the Department's GIS-related work.

Additional Free Mapping Assistance

Tompkins County also has free online interactive mapping tools available that the public can use to view the environmental aspects of any property or area of your community. The Department of Planning and Sustainability's GIS Analyst can provide your municipality with free hands-on training to show officials and/or staff how to navigate the tool and make their own printable maps.

CONTACT: Sharon Heller, GIS Analyst, sheller@tompkins-co.org, 607-247-5560.

TOWNS AND VILLAGES PARKS AND TRAILS GRANT PROGRAM

2019 Program Guidelines

The Tompkins County 2019 budget includes \$50,000 to fund small grants for towns and villages within Tompkins County that wish to make improvements to municipal parks and/or trails. The fund is administered by the Department of Planning and Sustainability.

Eligible Applicants and Funding

- Only Towns and Village governments within Tompkins County are eligible to apply for these funds.
- Grant awards of up to \$5,000 are available to fund improvements to publicly managed or supported parks and/or trails.
- Municipalities may apply for more than one project or grant; however, the total approved funding for any one municipality shall not exceed \$5,000.
- A resolution from an elected body or a support letter from a Supervisor or Mayor should be included in the application.

Eligible Projects

- 1. Park or trail infrastructure (ex. construction of new trail segments, water connections for restrooms or fountains, etc.)
- 2. Park or trail improvements (ex. benches, trash cans, lighting, etc.)
- 3. Park or trail recreational equipment (ex. playground equipment, soccer goals, etc.)
- 4. Acquisition of land for parks or trails, including easements
- 5. Staffing costs, only for installing infrastructure, improvements, or equipment funded by the grant (Note: programming and staffing for operations are not eligible for this program)

Evaluation Criteria

The proposal will be evaluated on how well it achieves the following criteria:

- Ability of the project to improve publicly owned, managed, or supported parks or trails.
- Ability of projects to be completed within six months of grant award.

Process

The grant application form will open at 9 AM on March 4, 2019 via a link on the Department of Planning and Sustainability webpage. The application will ask for contact information, amount requested (with detailed breakdown), project category, location, description, and timeline, SEQR requirements, and documentation of municipal support.

Project proposals will be accepted and reviewed by the Tompkins County Department of Planning and Sustainability with approval by the Commissioner of Planning and Sustainability. Complete proposals will be reviewed on a first come, first served, on-going basis until funds are depleted. Within 10 business days of complete proposal submission, applicants will be notified if their proposal will receive funding or not.

Upon approval, the County will prepare a contract for the awardee's signature. Once the contract is executed, the awardee will have nine months to forward copies of invoices to the County documenting expenses related to completed work. The County will make payments to the municipality upon receipt of documentation of funds spent.

CONTACT: Darby Kiley, Associate Planner, dkiley@tompkins-co.org. 607-247-5560

MUNICIPAL HOUSING AFFORDABILITY MATCHING FUND

2019 Program Guidelines

The Tompkins County 2019 Annual Budget includes \$45,000 to support municipalities working to address the community's housing shortage. In particular, this program is intended to:

- Increase the number of municipalities applying for state or federal funding related to housing affordability including Community Development Block Grants (CDBG), HOME, and New York State Consolidated Funding Applications (CFA), and to increase the success of these applications.
- Increase the amount of housing that is available and affordable for Tompkins County residents.
- Increase the development of housing in Tompkins County locations with low transportation costs, and access to existing infrastructure and amenities.
- Improve and maintain the affordability of existing housing.

Applicant and Project Eligibility

The proposed project must meet all of the following minimum requirements:

- Only municipal governments are eligible to apply for these funds
- The project must support housing affordability
- The County cost will be no more than 90% of the total project cost
- Projects must have a reasonable expected completion date within 9 months of award date.
- Projects may request no more than \$10,000 in county funds.
- The project must be one of the following 4 project types:
 - 1. Hiring consultants or staff to write and/or administer grants
 - 2. Hiring consultants or staff to improve zoning
 - 3. Infrastructure studies
 - 4. Infrastructure improvements

Project Priority Criteria

The proposed project must substantially address at least two of the objectives in each of the following three categories:

- Impact on Housing Affordability
 - Ability to complete project in less than 9 months
 - Address housing shortage for underserved market segments
 - Increase neighborhood diversity (unit types, price points, underserved groups)
 - Increase supply of affordable housing
- Supports Tompkins County and Local Comprehensive Plan
 - In or near a Development Focus Area
 - Compatible with Municipality's Comprehensive Plan
 - County or Locally adopted Energy Goals
- Local Match and Support
 - Cash or In-Kind match of no less than 10%
 - Support from Planning Board, elected body, Town Supervisor or Mayor

Process

Project proposals will be accepted by the Tompkins County Department of Planning and Sustainability on an on-going basis. Project proposals will be reviewed March 11^{th} and May 6^{th} . Projects that propose using $\leq \$5,000$ of County funds will be reviewed by Department of Planning and Sustainability Staff based on the Project Eligibility and Project Priority Criteria. The Commissioner of Planning and Sustainability will be authorized to approve applications for $\leq \$5,000$ that clearly meet the letter and intent of the program. Projects applying for more than \$5,000 will be brought to the relevant committee of the Tompkins County Legislature and then to the full Legislature for approval.

CONTACT: David West, Senior Planner, dwest@tompkins-co.org. 607-247-5560

Abbreviated Consultant List for Grant, Energy, and Planning Services

Last Updated January 2019

This information is offered as a public service in an effort to aid those seeking assistance for planning-related consulting services in Tompkins County. As the information may not be comprehensive, users are encouraged to research additional companies that are available for the services sought. The companies are not affiliated, endorsed, or regulated by Tompkins County. If you would like to include your company on the list, please contact the Tompkins County Department of Planning and Sustainability at 607-274-5560 or planning@tompkins-co.org.

Grant Consultants – Housing & Infrastructure

LaBella -

LaBella is a large and broad consulting firm headquartered in Rochester, NY with 18 offices around the eastern United States. Their planning division has experience pursuing a broad array of grant programs. Contact: Ed Flynn 585-454-6110 eflynn@labellapc.com

Murphy Grant Consulting -

Stacey Murphy is located in Ithaca, NY, where she helps non-profits and developers strategize and pursue appropriate grant programs. Murphy has worked with Better Housing for Tompkins County, Organic Waterfront, and Catholic Charities, among other local organizations.

Contact: Stacey Murphy 607-351-8643 stacey@murphygrantconsulting.com

Thoma Development Consultants –

Thoma Development, located in Cortland, NY, provides planning, grant application, and grant administration services to municipalities and non-profits throughout Upstate NY. Thoma has experience working with more than 30 different State, federal, and private funding sources. They also have the inhouse capability to administer grants and implement programs.

Contact: Rich Cunningham 607-753-1433 rich@thomadevelopment.com

Taitem Engineering -

Taitem is located in Ithaca, NY and specializes in engineering and design with a focus on energy efficiency and sustainability. They have experience helping local projects pursue energy-related grant funding including expertise with NYSERDA programs and NYSCFAs.

Contact: Ian Shapiro imshapiro@taitem.com 607-277-1118

Fagan Engineers –

Fagan Engineers is a civil/environmental engineering firm located in Elmira, NY. They offer a broad range of planning, design, survey, construction management, and operational expertise. Fagan has been a primary team member winning grants for the Chain Works District and other projects in Tompkins County and has experience with NYS CFAs, NYSERDA, Build-Now NY, and other grant programs. Contact: Chris Karam 607-734-2165 Christopher.Karam@FaganEngineers.com

New York State Homes and Community Renewal -

Lenny Skrill is an expert in financing large affordable housing projects and the landscape of grants, low interest loans, tax credits, etc. Lenny is happy to work through Pro Formas for projects with 40+ units to find ways to close funding gaps to create affordability.

Contact: Lenny Skrill 716-847-3926 leonard.skrill@nyshcr.org

Laberge Group -

Laberge Group is an architecture, engineering, and planning firm with special expertise in seeking and managing grants and other funding for projects. Laberge Group is located in Albany, NY and serves communities from Pennsylvania to Maine.

Contact: 518-458-7112 Clientservices@labergegroup.com

HUNT Engineers Architects Surveyors –

HUNT is a full-service firm, providing engineering, architecture, and surveying. Jennifer Vaughn's specific area of interest includes grant management, including: identifying grant opportunities that match with municipal needs, grant application writing, execution and administration of grant funds and associated requirements. They have extensive experience with CDBG, ARC and CFAs.

Contact: Jennifer Vaughn 607-358-1050 vaughnj@hunt-eas.com

Consultants – Energy Services

TRC Engineering

102 West State St, 3rd Floor Ithaca, NY 14850 Phone 607-330-4332 www.trcsolutions.com Mark Lorentzen, MLorentzen@trcsolutions.com

Taitem Engineering

110 South Albany Street, Ithaca, NY 14850 607.277.1118 info@taitem.com
Lou Vogel, President, lvogel@taitem.com

The Cadmus Group

Project Contact: Chad Laurent, Principal chad.laurent@cadmusgroup.com | (617) 209-1986

C.J. Brown Energy and Engineering

4245 Union Road, Suite 204, Buffalo, NY 14225 Mike Conway, General Manager mconway@cjbrownenergy.com

Ginkgo Lake Research

K. Max Zhang, PhD 509 Dryden Road Ithaca, NY 14850 Kz33@cornell.edu

C&S Engineers, Inc.

Jim Olcott, P.E., CEM Energy Service Group Manager phone: 315-455-2000 ext. 4131 fax: 315-455-9667

jolcott@cscos.com

LaBella Associates

Michael Winderl, PE, LEED AP Vice President John Hewitt, PE Project Manager 105 N. Tioga St, Suite 200 Ithaca, NY 14850 607-319-4136

ICF Incorporated, L.L.C.

9300 Lee Highway Fairfax, VA 22031 Paul Gates, Contracts Administrator, at 703-225-2482 or Paul.Gates@icf.com

Erdman Anthony

145 Culver Road, Suite 200
Rochester, NY 14620
[t] 585-427-8888 [f] 585-427-8914
Rachel Stuckey stuckeyr@erdmananthony.com

Daymark Energy Advisors, Inc.

One Washington Mall, 9th Floor Boston, MA 02108 (617) 778-5515 www.daymarkea.com Marc D. Montalvo mmontalvo@daymarkea.com

Synapse Energy Economics, Inc

Tommy Vitolo, PhD 617-453-7036 tvitolo@synapse-energy.com 485 Massachusetts Avenue, Suite 2 Cambridge, Massachusetts 02139 617.661.3248 | www.synapse-energy.com

ANTARES Group

James Olmsted Senior Project Engineer jolmsted@ANTARESgroupinc.com (315) 215-2236

Avant Energy, Inc.

220 South Sixth Street, Suite 1300
Minneapolis, MN 55402
Tel: 612.349.6868 | Fax: 612.349.6108
www.avantenergy.com |
info@avantenergy.com

Elementa Engineering

Shreshth Nagpal, snagpal@elementaengineering.com

Elevate Energy

Lindy Wordlaw, AICP
Senior Manager, Public Sector Programs,
Elevate Energy
773.269.4012
lindy.wordlaw@elevateenergy.org

Popli Design Group

S. Jay Popli , Executive Vice President Chonghui Liu, Team Leader, Energy Services 585.364.1603 315.907.6012 jpopli@popligroup.com cliu@popligroup.com

Consultants – Planning Services

Highland Planning

17 Mulberry Street
Rochester, NY 1462
585.315.1834
tanya@highland-planning.com
http://www.highland-planning.com/

Saratoga Associates

109 South Warren St, Suite 400 Syracuse, NY 13202 315.288.4286 marketing@saratogaassociates.com http://www.saratogaassociates.com

Goody Clancy

420 Boylston Street
Boston, MA 02116-3866
617.262.2760
www.goodyclancy.com
david.spillane@goodyclancy.com

Peter J Smith & Company

1896 Niagara Street
Buffalo, NY 14207
716.447.0505
http://www.pjscompany.com/
pjscompany@pjscompany.com

Stantec

61 Commercial Street Rochester, New York, 14614 585-475-1440 https://www.stantec.com jim.hofmannjr@stantec.com

Opticos

2100 Milvia Street, Suite 125, Berkeley, CA 94704 (510) 558-6957 www.opticosdesign.com info@opticosdesign.com

C&S Companies

499 Col. Eileen Collins Blvd Syracuse, NY 13212 (315) 455-2000 contactus@cscos.com
https://www.cscos.com

Behan Planning Associates

112 Spring Street, Suite 305 Saratoga Springs, New York 12866 518-583-4335 http://www.behanplanning.com info@behanplanning.com

Renaissance Planning Group

1901 North Moore St, Ste 1204 Arlington, VA — 22209 (703) 776-9922 http://www.citiesthatwork.com

ERM

5788 Widewaters Parkway Dewitt, NY, 13214 315 445 2554 www.erm.com

Rick Manning Landscape Architect

1335 Mecklenburg Road Ithaca, NY 14850 (607) 592-4647 rmannin4@twcny.rr.com

MRB Group

Culver Road Armory, 145 Culver Rd Suite 160 Rochester, NY 14620 (585) 381-9250 http://www.mrbgroup.com

Barton & Logudice, PC

29- Elmwood Davis Rd Box 3107 Syracuse, NY 14614 (315) 457-5200 www.bartonandloguidice.com jbenson@bartonandloguidice.com

Bergmann Associates

280 East Broad Street
Suite 200
Rochester, New York 14604
585-232-5135
www.bergmannpc.com
araus@bergmannpc.com

LaBella Associates PC

300 State Street, Suite 201 Rochester, NY 14614 (585) 454-6110 www.labellapc.com eflynn@LaBellaPC.com

Costich Engineering

217 Lake Ave Rochester, NY 14608 (585) 458-3020 http://costich.com/ info@costich.com/

Clark Patterson Lee

205 Saint Paul St #500 Rochester, NY 14604 585-454-4570 http://cplteam.com/

Fisher Associates

180 Charlotte Street Rochester, NY 14607 Phone: (585) 334-1310 Tom Lucey, P.E. tlucey@fisherassoc.com www.fisherassoc.com 585.334.1310 ext. 256

CDM Smith

6800 Old Collamer Road Suite 3 East Syracuse, NY 13057 315.434.3200 www.cdmsmith.com

Tetra Tech

Cornell Business & Technology Park 10 Brown Road Ithaca, New York 14850 607.277.7100 info@tetratech-ae.com http://tetratech-ae.com/

Tompkins County

Municipal Grant Workshop

Annual Grants Timeline

- CFA
 - May-June announcement and workshops
 - End of July due date
- CDBG Housing & Home
 - Fall
 - Usually around 6 weeks from announcement to due date
- ARC (Appalachian Regional Commission)
 - Summer application, 2018 due date was August 15
 - Southern Tier 8 provides training in

GRANTS FOR TOMPKINS COUNTY

HUNT

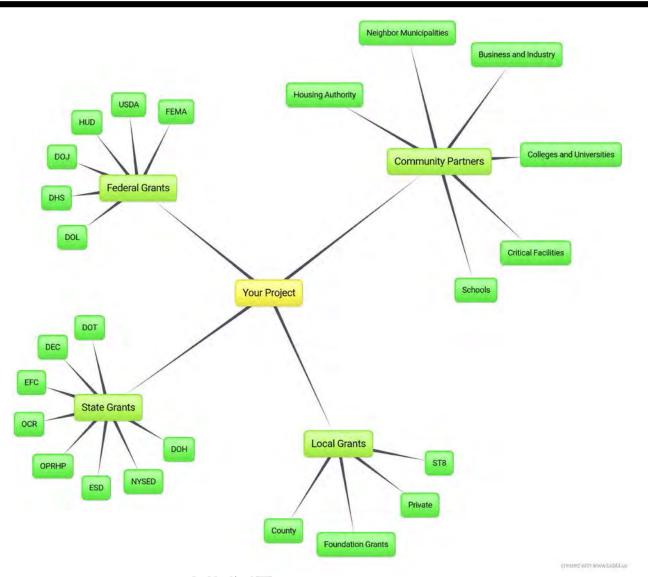
JANUARY 24, 2019 FEBRUARY 4, 2019

Grants for Tompkins County



- Many community projects are eligible for grants
- Well developed planning is critical
- Projects improve quality of life for residents

Funding Sources



Housing

- CDBG Housing Activities
 - Housing Rehabilitation
 - Well and Septic Replacement
 - Lateral Connection Assistance
- HOME Local
 - Homeowner Housing Rehabilitation
 - Manufactured Housing Replacement
 - Homebuyer Purchase Assistance
 - Homebuyer Development New Construction
 - Tenant Based Rental Assistance

Water and Wastewater Infrastructure

- Planning
 - CDBG Community Planning
 - NYS DEC/EFC Engineering Planning Grant
 - USDA Predevelopment Planning Grant
 - NYS EFC Emerging Contaminants Planning Grant
- Implementation
 - CDBG Public Infrastructure
 - NYS DEC Water Quality Improvement Program
 - NYS EFC Water Infrastructure Improvement Act
 - USDA RD Water and Waste Disposal Loan/Grant Program

Program Eligibility

Municipality	CDBG	DEC/EFC EPG	USDA RD	
	Public Infrastructure	Planning Grant	Water/Waste	Comm. Facilities
	LMI % (2015)	MHI (2015)	Population (2010)	Population (2010)
Caroline (T)	48.74%	\$67,624	3,282	3,282
Cayuga Heights (V)	28.33%	\$78,285	3,729	3,729
Danby (T)	40.83%	\$63,750	3,329	3,329
Dryden (T)	40.41%	\$60,689	14,435	14,435
Dryden (V)	42.98%	\$51,071	1,890	1,890
Enfield (T)	57.98%	\$56,000	3,512	3,512
Freeville (V)	34.00%	\$64,375	520	520
Groton (T)	45.43%	\$54,113	5,950	5,950
Groton (V)	61.41%	\$48,403	2,363	2,363
Ithaca (T)	46.65%	\$55,153	19,930	19,930
Lansing (T)	32.07%	\$67,721	11,033	11,033
Tompkins (County)	48.79%	\$52,624	101,564	101,564
Trumansburg (V)	49.07%	\$47,955	1,797	1,797
Ulysses (T)	35.59%	\$64,085	4,900	4,900

Community Projects

- Strategic Planning
- Parks
- Critical Facilities
- Schools





Successful Grant Program

- Project Planning
- Grant Research
- Grant Proposal Writing
- Grant Administration & Reporting
- Project Oversight & Closeout



HUNT Grants Awarded

Date	Award	Project
12/18	\$50,000	Water Study
12/18	\$11,000	Comprehensive Strategic Plan
12/18	\$30,000	Sewer Inflow & Infiltration Study
12/18	\$1,000,000	Wastewater Disinfection Project
12/18	\$116,081	Town Park Improvements
11/18	\$2,349,833	Water System Improvement Project
11/18	\$18,800	Tree Inventory and Community Forest Management Plan

Support

- Each Other
- County
- Region
- Funding Program Coordinators
- Consultants



Review

- Many community projects are eligible for grant awards
- Well developed planning is critical
- Projects improve quality of life for residents



Questions

Contact Us

Sean Muldoon

muldoons@hunt-eas.com

607-358-1000

Jennifer Vaughn

vaughnj@hunt-eas.com

607-358-1050



Murphy Grant Consulting

-Overview of Services for Municipal Leaders
Winter 2019

My Background

www.murphygrantconsulting.com



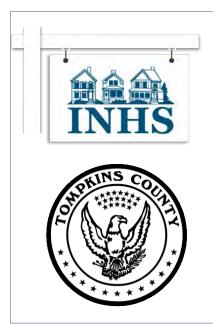
A few groups I have worked with

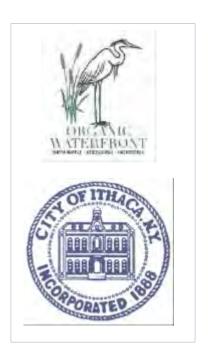














Catholic Charing.
Tompkins/Tioga



How I got started



Areas of Interest/ Work

at times sought by municipalities

- Housing: HOME LPA, CDBG, Access to Home
- Community Development:
- -DoS Waterfront Revitalization
- -Office of Parks, Rec & Historic Preservation
- -ESD
- -NY State Canal Corp.
- Tourism Planning Board, NYSCA

Consolidated Funding Application Tips

- Don't wait to become familiar with the programs, staff
- Gather data on community needs, support and costs early on
- Begin approval processes as early as possible, if needed
- If you will need a consultant to do these things, secure one early on!

2018 Available Resources

Direct Assistance to Business and Other Organizations: Up to \$242.37 million

- Empire State Development
 - Up to \$150 million for ESD Grant Funds
 - Up to \$75 million for Excelsior Jobs Program
 - Up to \$1.37 million for Business Incubator Program
 - Up to \$1 million for Strategic Planning and Feasibility Studies
 - Up to \$15 million for Market New York

Community Development: Up to \$55.7 million

- . New York State Council on the Arts
 - Up to \$5 million for Arts, Culture and Heritage Projects
 - Up to \$5 million for Arts & Cultural Facilities Improvement Program Large Capital Project Fund
- Homes and Community Renewal
 - Up to \$20 million for New York State Community Development Block Grant Program
 - Up to \$6.2 million for New York Main Street Program
- Office of Parks, Recreation and Historic Preservation
 - Up to \$19.5 million for Environmental Protection Fund Grants Program for Parks, Preservation and Heritage (EPF)

Waterfront Revitalization: Up to \$16 million

- Department of State
 - Up to \$15 million for the Local Waterfront Revitalization Program
- New York State Canal Corporation
 - Up to \$1 million for the Canalway Grants Program

Energy: Up to \$45 million

- New York State Energy Research and Development Authority
 - Up to \$35 million for Energy Efficiency Programs
 - Up to \$10 million for Net Zero Energy for Economic Development
- New York State Power Authority
 - Up to 143MW for the ReCharge NY Program

Environmental Improvements: Up to \$97 million

- Department of Environmental Conservation
 - Up to \$3 million for NYS DEC/EFC Wastewater Infrastructure Engineering Planning Grant
 - Up to \$79 million for the Water Quality Improvement Projects (WQIP) Program
- Environmental Facilities Corporation
 - Up to \$15 million for the Green Innovation Grant Program

Sustainability Planning and Implementation: Up to \$12.78 million

- Department of Environmental Conservation
 - Up to \$8.78 million for the Climate Smart Communities Program
- Department of State
 - Up to \$4 million for Local Government Efficiency Grants

Education/Workforce Development: Up to \$5 million

- Department of Labor
 - Up to \$5 million for Workforce Development

Low Cost Financing: Up to \$300 million

- Empire State Development
 - Up to \$300 million for Industrial Development Bond (IDB) Cap

Thank You!

stacey@murphygrantconsulting.com

www.murphygrantconsulting.com

(607)351 - 8643



Development Consultants



Partnering with communities for a better tomorrow



Thoma Development Consultants



- Founded in 1980
- Located in the Cortland,NY
- > Staff of 11 professionals
- ➤ Grant Development & Planning Team
- Program Management Team



Our Grant Writing Experience

- ➤ Have worked with dozens of customers, including municipalities, non-profits, and businesses
- > 2018: \$3,816,008*
- > 2017: \$17,090,210
- **>** 2016: \$22,237,949
- **>** 2015: \$7,408,882
- > 2014: \$7,676,862





Experience: Types of Grants

- > Community Development
- > Economic Development
- Housing (Rehabilitation, New Construction, Homeownership)
- > Infrastructure
- ➤ Homeland Security/COPS

- Parks, Recreation, Historic Preservation, Arts
- > Transportation
- ➤ Work Force Development
- > Records Management
- Local Government Efficiency(LGE)



Experience: Funding Sources

- Small Cities CDBG
- > Home Program
- Restore NY
- > EPF
- > NYS Archives
- > TEP/TAP
- > JCAP
- > LWRP
- > COPS
- > Ag and Markets Planning
- > EPA/Brownfields
- > NYSCA Decentralization

- > NY Main Street
- > Downtown Revitalization Initiative
- USDA Rural Development
- > NY DWSRF &CWSRF
- ➤ Local Government Efficiency
- ➤ Safe Routes to School
- Urban & Community Forestry
- Preserve America
- Assistance to Firefighters Grant



Experience: State and Federal Agencies

- > US Dept. of HUD
- > US EPA
- > US Dept. of Interior
- ➤ US Dept. of Commerce
- Homeland Security
- Office of Community Renewal
- Division of Housing
- Department of Education
- Department of State
- Office of Parks, Rec and H.P.

- > NYSCA
- Department of Transportation
- Environmental Facilities Corp.
- Empire State Development
- > NY DEC
- Canal Corporation
- Department of Health
- > NYSERDA
- Appalachian Regional Commission



It's Not the Dollars, It's the Project!

Crant funds should address a pre-determined need

The end-results of the project should be the focus, not the amount of funds





Grant Writing Philosophy

- ➤ We believe that the value of research and pre-planning in the grant writing process cannot be underestimated.
- ➤ We believe that grant applications should not just be eligible, but competitive.
- ➤ We believe that the project should come first, rather then activities being driven by grant opportunities
- ➤ We believe that a clear plan to achieving your goals is important for successful projects
- > Building a relationship is important to long term success



Village of Groton

- > Over \$6M in grant funding:
 - Rehabilitation of Substandard Housing: 93 units
 - New Homeownership: 13 newly constructed homes
 - Businesses Assisted: 60 businesses including MAP and 2 CDBG ED
 - Improvements to Public Buildings: 2 (library & records room)
 - New Village Equipment: 2 (new police vehicle & water filtration system)
 - Downtown Façade renovations: 6 buildings
 - Downtown Plan: 2 (Including architectural services)
 - Infrastructure: 5 (Sewer & water improvements, street construction, drainage)



Grant Writing Services

THOMA is retained to:

- > Research Funding Sources
- Advise the client on regularly available funding
- Provide an opinion as to potential for award
- Work is on a flat fee basis or an hourly rate not to exceed



Our goals:

- Educate our customers on potential grant resources available for specific projects.
- Educate ourselves as to the needs of your community.
- Develop competitive and fundable grant applications that provide a solution to your needs
- Maximize the limited resources available
- ➤ Build strong, trusting, long-term relationships with community leaders





34 Tompkins Street Cortland, NY Ph.:753-1433

Fax: 753-6818

e-mail: tdc@thomadevelopment.com website: www.thomadevelopment.com





AGENDA

- About LaBella
- Grant Programs
- Grant Projects Implemented
- How we Help Communities

ABOUT LABELLA

- Founded in 1978
- Full-service firm
- Headquartered in Rochester, NY
- Over **750** person capacity
- Focus on client partnerships



FULL SERVICE



Architecture



Interior Design



MEP, Energy, Commissioning



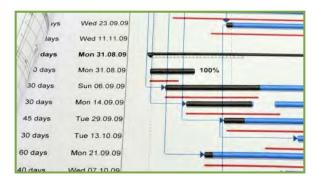
Structural



Civil/Site



Planning & Grant Assistance



Program Management



Power Systems



Transportation



Environmental



Survey/Geotech



Waste & Recycling

PLANNING DIVISION

PLANNING

- Comprehensive
- Master Planning
- Downtown & Economic Development
- Agricultural

GRANTS

- Applications
- Administration
- Community Development
- Economic Development

ENVIRONMENTAL

- SEQR/NEPA
- Articles 10, 7, Part 102
- Utility Permitting
- Development Services

Vision

Funding

Implementation

PLANNING DIVISION: GRANTS

GRANTS

- Applications
- Administration
- Community Development
- EconomicDevelopment

2014-2018



\$55 Million! Public Water and Sewer Systems

Downtown Revitalization

Economic Development

Housing

Municipal/Public Buildings

Transportation

Parks and Recreation

Planning/Design

Energy Efficiency and

Conservation

Brownfields

Sustainability

Records Management

Vision

Funding

Implementation



CONSOLIDATED FUNDING APPLICATION

Funding

- Economic Development
- Community Development
- Environment/Energy/Sustainability

Agencies

- Empire State Development Corp.
- NYHCR
- Department of State
- NYPA/NYSERDA
- NYS Dept. of Environmental Cons.
- NYS Environmental Facilities Corp

Regional Economic Development Council of the Southern Tier



OUTSIDE THE CFA

USDA (US Department of Agriculture)

- Year round
- First come, first serve (Fiscal end summer)
- Infrastructure: Rural & Less than 10,000 population
- Community Facilities: Rural & Less than 20,000 population
- Primarily Loans Low interest, long term



OUTSIDE THE CFA

- NYSDOT
- Environmental Facilities Corp
 - Clean Water/Drinking Water
 State Revolving Loan Fund
 - NYS Water Grants
- NYS HCR Housing and Economic Development Programs
- Periodic Funding: i.e. RESTORE NY
- Upstate Revitalization



COMMUNITY DEVELOPMENT BLOCK GRANT HOUSING

NYS Office of Community Renewal Unified Funding Round

- Eligibility
 - < 50,000 Municipality
 - < 200,000 County
 - Low/Mod Persons
- Projects
 - Rehabilitation
 - Ownership
 - Residential Water / Sewer – on site
- Funding
 - \$500,000 Municipalities
 - \$850,000 Counties



NYSHCR / USDA

Multiple

Periodic

Agency	Program	Funding	Purpose	Eligibility	
NYS Homes & Community Renewal	Community Development Block Grant	Approximately \$8- 10 million annually	Housing Rehab, Homeownership, manufactured housing for low-mod income households	Counties and municipalities	
NYS Homes & Community Renewal	Access to Home for Veterans	Approximately \$6 million annually	Accessibility Improvements to homes occupied by low income veterans.	Counties, municipalities & not for profits	
NYS Homes & Community Renewal	Access to Home Program	Approximately \$1 million annually	Accessibility Improvements to homes occupied by low income veterans.	Counties, municipalities & not for profits	
NYS Homes & Community Renewal	Access to Home for Medicaid Recipients Program	Approximately \$1 million annually	Accessibility Improvements to homes occupied by low income Medicaid recipients	Counties, municipalities & not for profits	
NYS Homes & Community Renewal	RESTORE Program	Approximately \$4 million annually	Emergency repairs for homes owned by low income elderly persons.	Counties, municipalities & not for profits	
NYS Homes & Community Renewal	New Multi-family	\$600 Million annually	Construction, adaptive reuse and preservation of multi-family affordable housing for a variety of populations	Non for profit corporations or charitable organizations; Housing Authorities eligible some programs	
NYS Homes & Community Renewal	NYS HOME Local Small Rental Development Initiative	\$15 Million Annually	Rehabilitation; or acquisition and rehabilitation; or new construction of up to 25 rental units for low income households	Non for profit corporations, Community Housing Development Organizations, Public Housing Authorities.	
NYS Affordable Housing Corporation (NYS Homes & Community Renewal)	Affordable Home Ownership Development Program	\$25 Million Annually	Home ownership for low to moderate income households	Municipalities, non for profit corporations and municipal Housing Authorities.	
USDA Rural Housing Service	Single Family and Multi-Family Loan Program	Varies	Direct loans or loan guarantees to buy, build or rehab single family homes and multi-family properties for low and moderate income households		

NY MAIN STREET PROGRAM

NYS Office of Community Renewal CFA Round

- Building Renovations
 - Private Owners, Mixed Use
 - \$50,000
- \$500,000
- Owners get 75% funded
- Streetscape



RESTORE NY

Empire State Development Corporation

Periodic

- Building Renovation, Demolition, Environmental Cleanup
- \$2 Million (varies)
- 10% Match
- Ideal
 - Mixed Use
 - Distressed Community
 - Catalytic Project
 - Developer on board w/ funding



INFRASTRUCTURE

COMMUNITY
DEVELOPMENT
BLOCK GRANT (CDBG)

NYS Office of Community Renewal

CFA Round

- Population
 - < 50,000 Municipality
 - < 200,000 County
- Funding
 - \$750,000 Municipalities
 - \$900,000 Counties
 - \$1,000,000 Joint/Shared
- 51% Low to Moderate Income Benefit
- Water/Sewer/Storm



INFRASTRUCTURE

USDA RURAL UTILITIES PROGRAM

USDA Rural Development

Rolling Basis: Initial & Final Applications

- Low interest loans w/ small grants
- Long Term: 38 years
- Poverty (< \$45,505):
 - 2.0%
 - \$750,000 grant max
- Intermediate:(\$45,505-\$56,882):
 - 2.625%
 - \$500,000 grant max
- Population: <10,000



INFRASTRUCTURE

DRINKING AND
CLEAN WATER STATE
REVOLVING LOAN
FUNDS; NYS WATER
GRANTS (DWSRF,
CWSRF)

NYS Environmental Facilities Corporation

Rolling Basis: Intended Use Plan (IUP) Listing

- DWSRF = Water
 - Grants, low interest loans
- CWSRF = Sewer
 - Low interest loans
- NYS Water Grants
- Loans
 - 20 years (30 Years Hardship)



PARKS, TRAILS AND ACTIVE TRANSPORTATION

NYS ENVIRONMENTAL PROTECTION FUND (EPF)

NYS Office of Parks, Recreation and Historic Preservation

CFA Round

- Parks, Trails
- \$500,000
- Acquisition, Development
- 50% match
- 25% match (poverty > 10%)
- Concept Plan, Engineer's Report



PARKS, TRAILS AND ACTIVE TRANSPORTATION

TRANSPORTATION ALTERNATIVES PROGRAM (TAP)

NYS Department of Transportation

Periodic

- Trails
- Sidewalks
- Bike Lanes
- Rails to Trails
- K-8 School Areas
- Funding
 - 80% funding
 - Up to \$5 Million



PLANNING

PLANNING FUNDINGCFA Round

ESDC Strategic Planning

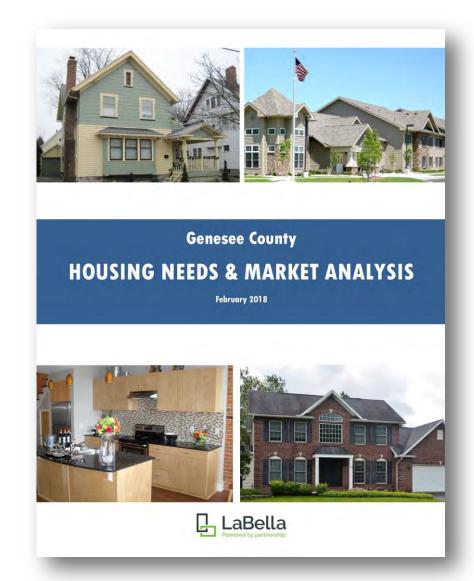
• 50%, up to \$100K

NY Main Technical Assistance

• 95%, up to \$20K

CDBG Planning

- 95%, Up to \$50K
- Community Needs, Prelim. Engineering, Market



PLANNING

PLANNING FUNDINGCFA Round

CWSRF Wastewater Planning

- \$50,000 50,000+ Population
- \$30,000 less than 50,000 Population
- \$100,000 inflow/infiltration
- 20% Match

















PLANNING DIVISION: GRANTS

Grant
Research &
Notices

Complimentary

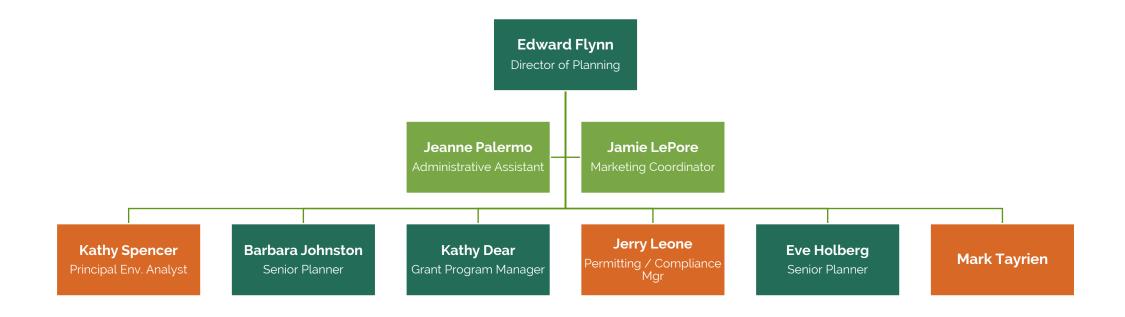
Grant Applications

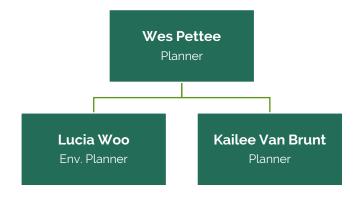
Reasonable Fee

Grant
Administration
& Closeout

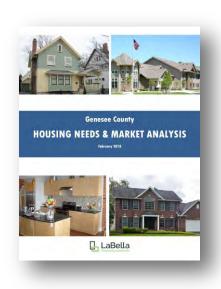
Grant Funded

PLANNING DIVISION: GRANTS





PROJECT WISH LIST





What are the community's priorities

- Comprehensive Plan
- Strategic Plan
- Capital Improvement Plan
- Budget

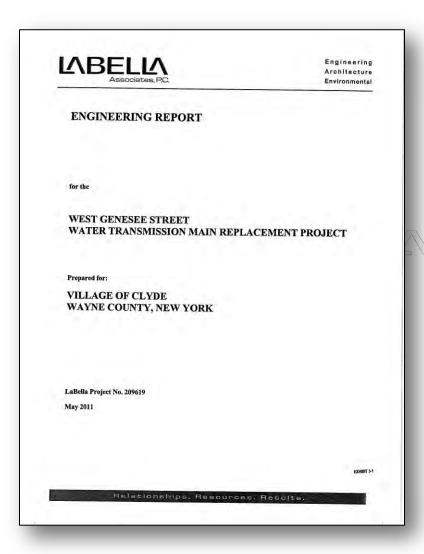




Wish List

- Ready
- Eligible
- Competitive
- Funding Priorities

ARE YOU READY?



- Can You Describe the Project Fully?
 - Scope, Costs, Benefits
 - Can you Phase It?
- Is Documentation Ready?
 - Preliminary Engineering
- Income (CDBG / USDA) or Demand Surveys
- Can you supply the match / Can you wait for the reimbursements?
- Is the Project Supported?

CAN YOU IMPLEMENT YOUR GRANT?

Capacity

Staff or Consultant?

Regulations

- Environmental (SEQR/NEPA)
- Bidding, Procurement, Wage Rates
- 2 Year Time Frame

Management

Multiple Parties, Financial Regulations

	VITALIZ		Projects Program Program	
Environmen	tal Co	ompl	iance Checklist	
LOCAL PROGRAM ADMINISTRATOR (LPA):				
FUNDING PROGRAM:		SHARS ID:		
PROGRAM MUNICIPALITY:			PROGRAM COUNTY:	
PROJECT ADDRESS (IF SITE SPECIFIC):				
Area of Statutory – Regulatory Compliance	Λ*	B**	Describe compliance procedures or reason why "Not Applicable to this Program" Additional material may be attached.	
A. Historic/Cultural Resources: Section 14.09 of the Parks, Recreation and Historic Preservation Law.		×		
B. Flood Plains: 6 NYCRR Part 502, Floodplain Management Criteria for State Projects.				
C. Zoning change/special use permit: Projects must conform to the relevant local land use plans and must receive all necessary zoning and site plan approvals and permits.				
D. Coastal Zones: 19 NYCRR Part 600, Coastal Zone Management				
E. <u>Site Contamination-Hazardous Materials</u> : Projects funded under the Program must be free of hazardous materials which could affect the health and safety of the occupants or conflict with the intended utilization of the property.				
F. Lead Based Paint: All assisted dwelling units and their related common areas must be free from the hazards posed by lead-based paint. Refer to HUD "Guidelines for the Evaluation and Controt of Lead-Based Paint Hazards in Housing"				
G. Asbestos Containing Materials NYS Department of Labor at 12 NYCRR Part 56				
H. Radon EPA map of Radon Zones; EPA Radon Mitigation Standards				
Wetlands: 6 NY CRR Part 663, Freshwater Wetlands Permit Requirements and , Section 404 of the Clean Water Act				

THANK YOU!

Questions - contact:

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